

## Changes to the SRA Enforcement Strategy

The following additions or changes will be made to the SRA Enforcement Strategy when the rules outlined in this consultation response go live. This will be on 30 May 2023, subject to Legal Services Board approval.

1) At the end of the existing introduction section, the below new section will be added:

### 1.1 Introduction

#### **Fixed financial penalties**

As an alternative to our other enforcement options, we may issue a fixed financial penalty (FFP) in the circumstances prescribed in the Fixed Financial Penalty Rules. This Enforcement Strategy does not apply to the imposition of FFPs. We have determined that issuing an FFP in accordance with the rules can be a proportionate response to the specified breaches in the stated circumstances.

We retain the discretion not to offer an FFP, for example, if we believe that the non-compliance is part of a wider and more serious course of misconduct. Or if we believe additional measures such as conditions are necessary.